UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/817,466	04/02/2004	Jun Xu	CIS0213US	3179
	7590 04/03/200 TEPHENSON LLP	EXAMINER		
	RY OAKS TERRACE		CHOU, ANDREW Y	
BLDG. H, SUITE 250 AUSTIN, TX 78758			ART UNIT	PAPER NUMBER
			2192	
			MAIL DATE	DELIVERY MODE
			04/03/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
	10/817,466	XU ET AL.			
Office Action Summary	Examiner	Art Unit			
	ANDREW CHOU	2192			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status					
1) ☐ Responsive to communication(s) filed on <u>02 Ar</u> 2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This 3) ☐ Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final. nce except for formal matters, pro				
Disposition of Claims					
4) ☐ Claim(s) 1-39 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-39 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or Application Papers 9) ☐ The specification is objected to by the Examine 10) ☐ The drawing(s) filed on is/are: a) ☐ accent applicant may not request that any objection to the ore Replacement drawing sheet(s) including the correction.	vn from consideration.  r election requirement.  r.  epted or b)  objected to by the I drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).			
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 04/02/2004.	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other:	ate			

# **DETAILED ACTION**

1. Claims 1-39 are pending. The priority date recognized for this application is 11/10/2003.

#### Information Disclosure Statement

2. The information disclosure statement (IDS) submitted on 04/02/2004 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

#### Oath/Declaration

3. The Office acknowledges receipt of properly signed oath/declaration filed on 04/02/2004.

# Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1-2, 4-17, 19-26, 28-35, and 37-39 are rejected under 35 U.S.C. 102(b) as being anticipated by Shagam 6,161,216 (hereinafter Shagam).

#### Claim 1:

Shagam discloses a method comprising:

providing a debugger (see for example FIG. 1, items 16, "Source Level Debugger", and related text) suitable for a unit under test, wherein the unit under test includes a

program under test (see for example FIG. 1, item 19, "Executable Software Microcode");

causing the debugger to be loaded into the unit under test (see for example FIG. 1, item 17, "Debugger Trace Component", and related text);

sending a plurality of test commands to the unit under test according to a test script (see for example column 1, lines 44-46, "...debugging script..."); and activating the debugger when a watched event occurs during execution of the program under test (see for example column 1, lines 46-53).

#### Claim 2:

Shagam further discloses the method of claim 1 further comprising:

directing a debugger command to the debugger; and recording information provided by
the debugger according to the debugger command (see for example FIG. 3, step 220,
"Write Tracepoint into Debugging Script", acts as a command to the debugger and
records information).

### Claim 4:

Shagam further discloses the method of claim 1 further comprising: invoking the debugger while specifying the program under test as a target of the debugger (see for example column 3, lines 50-60, "...debugging script...").

## Claim 5:

Shagam further discloses the method of claim 1 further comprising:

instructing the debugger to associate itself with a process executing on the unit under test, wherein the process corresponds to the program under test (see for example column 3, lines 50-60, "...debugging script...").

#### Claim 6:

Shagam further discloses the method of claim 1 further comprising:
sending a command to the debugger, wherein the command performs at least one of:
setting a breakpoint in the program under test;
setting a watchpoint in the program under test;
setting a catchpoint in the program under test;
setting a tracepoint in the program under test (see for example column 4, lines 3-26,
"...tracepoint...").

#### Claim 7:

Shagam further discloses the method of claim 1 wherein the watched event includes at least one of a processor exception, a program under test error, reaching a breakpoint in the program under test; reaching a watchpoint in the program under test; reaching a catchpoint in the program under test; and reaching a tracepoint in the program under test (see for example column 4, lines 3-26, "...tracepoint...").

#### Claim 8:

Shagam further discloses the method of claim 1 further comprising: selecting a platform-specific debugger corresponding to a processor in the unit under test

(see for example FIG. 1, item 16, "Source code debugger", and related text); and

loading the platform-specific debugger into the unit under test (see for example FIG. 1, item 17, "Debugger trace component", and related text).

#### Claim 9:

Shagam further discloses the method of claim 8 further comprising:

loading, into the unit under test, a symbol file corresponding to the program under test (see for example column 3, lines 50-59, "...symbol table...").

#### Claim 10:

Shagam discloses a system comprising:

a memory (see for example FIG. 2, item 5, "Non-volatile data storage", and related text); a processor coupled to the memory (see for example FIG. 2, item 2, "Processor", and related text); and

a debugger agent, wherein at least a portion of the debugger agent is encoded as instructions stored in the memory and executable on the processor (see for example FIG. 1, items 16, "Source Level Debugger", and related text), and wherein the debugger agent is configured to:

select a debugger program suitable for a unit under test, wherein the unit under test includes a program under test (see for example FIG. 1, item 17, "Debugger Trace Component", and related text);

cause the debugger program to be loaded into the unit under test; send a plurality of test commands to the unit under test according to a test script (see for example FIG. 3, step 220, "Write Tracepoint into Debugging Script", acts as a command to the debugger and records information); and

activate the debugger program when a watched event occurs during execution of the program under test (see for example column 4, lines 3-26, "...tracepoint...").

#### Claim 11:

Shagam further discloses the system of claim 10 further comprising at least one debugger program stored in at least one of the memory and a storage device accessible by the processor (see for example FIG. 2, item 3, "Working Storage", and related text).

### Claim 12:

Shagam further discloses the system of claim 10 further comprising at least one symbol file stored in at least one of the memory and a storage device accessible by the processor (see for example column 3, lines 50-59, "...symbol table...").

## Claim 13:

Shagam further discloses the system of claim 10 further comprising:

a test script handler, wherein at least a portion of the test script handler is encoded as instructions stored in the memory and executable on the processor (see for example column 2, lines 53-68).

### Claim 14:

Shagam further discloses the system of claim 13 wherein the test script handler is further configured to send the plurality of test commands to the debugger agent (see for example column 1, lines 46-56, "...trace point...").

#### Claim 15:

Shagam further discloses the system of claim 10 further comprising:

a second memory (see for example FIG. 2, item 4, "Program Storage", and associated text);

a second processor coupled to the second memory; and a test script handler, wherein at least a portion of the test script handler is encoded as instructions stored in the second memory and executable on the second processor (see for example FIG. 3, step 220, and associated text).

# Claim 16:

Shagam further discloses the system of claim 15 wherein the test script handler is further configured to send the plurality of test commands to the debugger agent (see for example column 1, lines 46-56, "...trace point...").

#### Claim 17:

Shagam further discloses the system of claim 10 wherein the debugger agent is further configured to:

direct a debugger program command to the debugger program (see for example FIG. 3, step 220, and related text); and

record information provided by the debugger program according to the debugger command (see for example FIG. 3, step 220, and related text).

## Claim 19:

Shagam further discloses the system of claim 10 wherein the debugger agent is further configured to:

invoke the debugger program while specifying the program under test as a target of the debugger program (see for example column 3, lines 50-60, "...debugging script...").

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Claim 20:

Shagam further discloses the system of claim 10 wherein the debugger agent is further

configured to:

command the debugger program to associate itself with a process executing on the unit

under test, wherein the process corresponds to the program under test (see for example

column 3, lines 50-60, "...debugging script...").

Claim 21:

Shagam further discloses the system of claim 10 wherein the debugger agent is further

configured to:

send a command to the debugger program, wherein the command performs at least

one of:

setting a breakpoint in the program under test;

setting a watchpoint in the program under test;

setting a catchpoint in the program under test;

setting a tracepoint in the program under test (see for example column 4, lines 3-26,

"...tracepoint...").

Claim 22:

Shagam further discloses the system of claim 10 wherein the watched event includes at

least one of a processor exception, a program under test error, reaching a breakpoint in

the program under test; reaching a watchpoint in the program under test; reaching a

catchpoint in the program under test; and reaching a tracepoint in the program under

test (see for example column 4, lines 3-26, "...tracepoint...").

Claim 23:

Shagam further discloses the system of claim 10 wherein the debugger agent is further

configured to:

select a platform-specific debugger program corresponding to a processor in the unit

under test (see for example FIG. 1, item 16, "Source code debugger", and related text);

and

load the platform-specific debugger program into the unit under test (see for example

FIG. 1, item 17, "Debugger trace component", and related text).

Claim 24:

Shagam further discloses the system of claim 23 wherein the debugger agent is further

configured to:

load, into the unit under test, a symbol file corresponding to the program under test (see

for example column 3, lines 50-59, "...symbol table...").

Claim 25:

Shagam discloses a computer readable medium comprising program instructions

executable on a processor, the computer readable medium being at least one of an

electronic storage medium, a magnetic storage medium, an optical storage medium,

and a communications medium conveying signals encoding the instructions, wherein

the program instructions are operable to implement each of (see for example column 2,

lines 54-66,):

providing a debugger suitable for a unit under test, wherein the unit under test includes a program under test (see for example FIG. 1, item 17, "Debugger Trace Component", and related text);

causing the debugger to be loaded into the unit under test see for example FIG. 3, step 220, "Write Tracepoint into Debugging Script", acts as a command to the debugger and records information);

sending a plurality of test commands to the unit under test according to a test script (see for example column 4, lines 3-26, "...tracepoint..."); and

activating the debugger when a watched event occurs during execution of the program under test (see for example column 4, lines 3-26, "...tracepoint...").

#### Claim 26:

Shagam further discloses the computer readable medium of claim 25 further comprising program instructions operable to implement each of:

directing a debugger command to the debugger (see for example FIG. 3, step 220, "Write Tracepoint into Debugging Script", acts as a command to the debugger and records information); and

recording information provided by the debugger according to the debugger command (see for example FIG. 3, step 220, "Write Tracepoint into Debugging Script", acts as a command to the debugger and records information).

#### Claim 28:

Shagam further discloses the computer readable medium of claim 25 further comprising program instructions operable to implement:

invoking the debugger while specifying the program under test as a target of the debugger (see for example column 3, lines 50-60, "...debugging script...").

### Claim 29:

Shagam further discloses the computer readable medium of claim 25 further comprising program instructions operable to implement:

instructing the debugger to associate itself with a process executing on the unit under test, wherein the process corresponds to the program under test (see for example column 3, lines 50-60, "...debugging script...").

#### Claim 30:

Shagam further discloses the computer readable medium of claim 25 further comprising program instructions operable to implement:

sending a command to the debugger, wherein the command performs at least one of:

setting a breakpoint in the program under test;

setting a watchpoint in the program under test;

setting a catchpoint in the program under test;

setting a tracepoint in the program under test (see for example column 4, lines 3-26, "...tracepoint...").

## Claim 31:

Shagam further discloses the computer readable medium of claim 25 wherein the watched event includes at least one of a processor exception, a program under test error, reaching a breakpoint in the program under test; reaching a watchpoint in the

program under test; reaching a catchpoint in the program under test; and reaching a tracepoint in the program under test (see for example column 4, lines 3-26, "...tracepoint...").

## Claim 32:

Shagam further discloses the computer readable medium of claim 25 further comprising program instructions operable to implement each of (see for example FIG. 1, item 16, "Source code debugger", and related text); and loading the platform-specific debugger into the unit under test (see for example FIG. 1, item 17, "Debugger trace component", and related text).

#### Claim 33:

Shagam further discloses the computer readable medium of claim 25 further comprising program instructions operable to implement:

loading, into the unit under test, a symbol file corresponding to the program under test (see for example column 3, lines 50-59, "...symbol table...").

### Claim 34:

Shagam discloses an apparatus comprising:

a means for causing a means for debugging a program under test to be loaded into a unit under test, wherein the unit under test includes the program under test; a means for sending a plurality of test commands to the unit under test according to a test script (see for example FIG. 1, item 17, "Debugger Trace Component", and related text); and a means for activating the means for debugging when a watched event occurs

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during execution of the program under test (see for example column 4, lines 3-26, "...tracepoint...").

#### Claim 35:

Shagam further discloses the apparatus of claim 34 further comprising: a means for directing an instruction to the means for debugging a program under test (see for example column 3, lines 50-60, "...debugging script..."); and a means for recording information provided by the means for debugging a program under test (see for example column 3, lines 50-60, "...debugging script...").

## Claim 37:

Shagam further discloses the apparatus of claim 34 further comprising: a means for instructing the means for debugging a program under test to associate itself with a process executing on the unit under test, wherein the process corresponds to the program under test (see for example column 3, lines 50-60, "...debugging script...")...

## Claim 38:

Shagam further discloses the apparatus of claim 34 further comprising: a means for sending a command to the means for debugging a program under test, wherein the command performs at least one of: setting a breakpoint in the program under test; setting a watchpoint in the program under test; setting a catchpoint in the program under test; setting a tracepoint in the program under test (see for example column 4, lines 3-26, "...tracepoint...").

#### Claim 39:

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Shagam further discloses the apparatus of claim 34 wherein the watched event includes at least one of a processor exception, a program under test error, reaching a breakpoint in the program under test; reaching a watchpoint in the program under test; reaching a catchpoint in the program under test; and reaching a tracepoint in the program under test (see for example column 4, lines 3-26, "...tracepoint...").

# Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 3, 18, 27, and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shagam.

#### Claim 3:

Although Shagam does not explicitly disclose the method of claim 1 further comprising: pausing execution of the program under test: and allowing a user to control the debugger, Shagam does indeed suggest the insertion of breakpoints (see for example col. 1, lines 29-36, "...trace points or breakpoints..."), which would pause the execution of a program and allow user control. It would have been obvious to a person of ordinary skill in the art to include the functionality of inserting breakpoints to the method taught in

Shagam to improve the performance and provide added value to the source code debugger (see for example Shagam col. 1, lines 35-36).

#### Claim 18:

Although Shagam does not explicitly disclose the system of claim 10 wherein the debugger agent is further configured to: suspend execution of the program under test: and allow a user to control the debugger program, Shagam does indeed suggest the insertion of breakpoints (see for example col. 1, lines 29-36, "...trace points or breakpoints..."), which would pause the execution of a program and allow user control. It would have been obvious to a person of ordinary skill in the art to include the functionality of inserting breakpoints to the method taught in Shagam to improve the performance and provide added value to the source code debugger (see for example Shagam col. 1, lines 35-36).

# Claim 27:

Although Shagam does not explicitly disclose the computer readable medium of claim 25 further comprising program instructions operable to implement each of: pausing execution of the program under test: and allowing a user to control the debugger, Shagam does indeed suggest the insertion of breakpoints (see for example col. 1, lines 29-36, "...trace points or breakpoints..."), which would pause the execution of a program and allow user control. It would have been obvious to a person of ordinary skill in the art to include the functionality of inserting breakpoints to the method taught in Shagam to improve the performance and provide added value to the source code debugger (see for example Shagam col. 1, lines 35-36).

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#### Claim 36:

Although Shagam does not explicitly disclose the apparatus of claim 34 further comprising: a means for pausing execution of the program under test: and a means for allowing a user to control the means for debugging a program under test, Shagam does indeed suggest the insertion of breakpoints (see for example col. 1, lines 29-36, "...trace points or breakpoints..."), which would pause the execution of a program and allow user control. It would have been obvious to a person of ordinary skill in the art to include the functionality of inserting breakpoints to the method taught in Shagam to improve the performance and provide added value to the source code debugger (see for example Shagam col. 1, lines 35-36).

## Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andrew Y. Chou whose telephone number is (571) 272-6829. The examiner can normally be reached on Monday-Friday, 8:00 am - 4:30 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tuan Q. Dam, can be reached on (571) 272-3695.

The fax phone number for the organization where this application or proceeding is assigned is (571) 273 8300.

Any inquiry of a general nature of relating to the status of this application or proceeding

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should be directed to the TC 2100 Group receptionist whose telephone number is (571)

272 2100.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published

applications may be obtained from either Private PAIR or Public PAIR. Status

information for unpublished applications is available through Private PAIR only. For

more information about the PAIR system, see http://pair- direct.uspto.,qov. Should you

have questions on access to the Private PAIR system, contact the Electronic Business

Center (EBC) at 866-217-9197 (toll free)

/Andrew Chou/

Examiner, Art Unit 2192

/Eric B. Kiss/

Eric B. Kiss

Primary Examiner, Art Unit 2192